



Docket No.

474712-005

IGD/JL

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Eugene Lawrence Podsiadlo

Group Art Unit: 3624

Application No.: 09/668,547

Examiner: Havan, Thu Thao

Filed: September 25, 2000

For: **COMMUNICATION NETWORK BASED SYSTEM AND METHOD FOR  
AUCTIONING SHARES ON AN INVESTMENT PRODUCT**

Date: October 9, 2006

**CERTIFICATE OF TRANSMISSION  
BY FACSIMILE (37 C.F.R. § 1.8)**

Mail Stop Issue Fee  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1340

Sir:

I hereby certify that the following correspondence:

**Fee Transmittal for Issue Fee (PTOL-8S) (1 pg); and Petition Claiming Small Entity Status under 37 CFR 1.27(c) (2 pgs)**

is being transmitted by facsimile to the United States Patent and Trademark Office in accordance with 37 C.F.R. § 1.8 on the following date: October 9, 2006

Jeong Lee

(Typed Or Printed Name Of Person Signing this Certificate)

(Signature)



## STROOCK

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DATE

October 9, 2006

TO	COMPANY NAME	FAX NO.	PHONE NO.
Mail Stop ISSUE FEE, Commissioner for Patents	United States Patent and Trademark Office	571-273-2885	
TOTAL NO. OF PAGES	5		
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CLIENT/MATTER NAME	U.S. Patent Application No. 09/668,547		
CLIENT/MATTER NO.	474712/0005		

## MESSAGE

Transmitted herewith please find:

1. Certificate of Transmission by Facsimile (37 CFR 1.8) (1 pg.)
2. Fee Transmittal for Issue Fee (PTOL-85) (1 pg)
3. Petition Claiming Small Entity Status under 37 CFR 1.27(c) (2 pgs)

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IGD/JL**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Eugene Lawrence Podsiadlo Art Unit: 3624  
Application No.: 09/668,547 Examiner: Havan, Thu Thao  
Filed: September 25, 2000 Confirmation No.: 2854

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Date: October 9, 2006

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**PETITION CLAIMING SMALL ENTITY STATUS**  
**UNDER 37 CFR §1.27(c)**

Sir:

Applicant encloses this Petition notifying the United States Patent and Trademark Office concerning the entitlement to small entity status for the above referenced Patent, as defined in 37 CFR §1.27(a)(2), and is also entitled to pay reduced fees under 35 U.S.C. §41(h).

Therefore, in compliance with 37 CFR 1.27(g)(1), an assertion of small entity status need only be filed once in an application or patent. Small entity status once established, remains in effect until changed. Therefore, the applicant is entitled to small entity status.

Applicant is submitting this Petition together with the Issue Fee Transmittal Form, authorizing the payment of the issue fee in the amount of \$700.00, the small entity fee, under 37 CFR 1.27(g)(1). Applicant qualifies as a small entity, and for the entire pendency of this application, has qualified as a small entity. Unfortunately, the large entity fee was inadvertently paid with the filing of the application. To correct Applicant's status, Applicant hereby submits this Petition claiming small entity.

U.S. Appln No.: 09/668,547  
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**CONCLUSION**

No additional fees are believed to be due in connection with the filing of this paper. Nevertheless, should any additional fee be required to have this paper entered and considered, authorization is hereby given to charge the amount of such fee, and any other fees, now or hereafter due, to Deposit Account No. 19-4709.

In the event that there are any questions, or should additional information be required, please do not hesitate to contact applicant's attorney at the number listed below.

Respectfully submitted,



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